Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are require

| | | | | Complete if Known | | |
|-----------------------------------|--------------|----|------|------------------------|----------------------|--|
| Substitute for for | rm 1449A/PTO | | | Application Number | 10/816,147 | |
| | | | | Filing Date | April 1, 2004 | |
| | MATION DIS | | | First Named inventor | Richard L. McClellan | |
| STATEMENT BY APPLICANT | | | CANT | Art Unit | 3627 | |
| | | | | Examiner Name | Paul Danneman | |
| (use as many sheets as necessary) | | | | | | |
| Sheet | 1 | of | 2 | Attorney Docket Number | 040193 | |

U.S. PATENT DOCUMENTS Pages, Columns, Lines, Where Document Number Examiner Cite Publication Date Name of Patentee or Relevant Passages or Relevant Initials* MM-DD-YYYY Applicant of Cited Document Figures Appear Number - Kind Code2 (if known) US-5 953 707 09-14-1999 Huang et al. US-6,735,630 B1 05-11-2004 Gelvin et al. Wong US-6.816.074 B2 11-09-2004 US-2007/0162360 A1 07-12-2007 Congram et al.

| FOREIGN PATENT DOCUMENTS | | | | | | | |
|--------------------------|--------------|--|--------------------------------|---|--|---|--|
| Examiner Initials* | Cite No.1 | Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | т | |
| | | WO 00/29999 | 05-25-2000 | General Electric Company | | | |
| | | WO 02/081164 A1 | 10-17-2002 | MBT Holding AG | | F | |
| | | | | | | I | |
| | | | | | | + | |

| Examiner | Date | |
|-----------|---------|-----|
| Signature | Conside | red |

"EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. "Applicants unique citation designation number (polipical)." See Kinds Codes of USPTO Patent Documents at <u>www.uspto.gov</u> or MPEP 901.04. "Enter Office that issued the document, by the two-letter code (WIPD Standard ST.3). *For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Skind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-979) and select option 2.

PTO/SB/08B (08-03)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. Delan Trade of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

| Substitute for form 1449A/PTO | | | | Complete if Known | | |
|-------------------------------|----------------|------|--------|------------------------|----------------------|--|
| | | | | Application Number | 10/816,147 | |
| | | | | Filing Date | April 1, 2004 | |
| INFORMATION DISCLOSURE | | | | First Named Inventor | Richard L. McClellan | |
| STATEMENT BY APPLICANT | | | CANT | Art Unit | 3627 | |
| | | | | Examiner Name | Paul Danneman | |
| (use as | many sheets as | nece | ssary) | | | |
| Sheet | 2 | of | 2 | Attorney Docket Number | 040193 | |

| | NON PATENT LITERATURE DOCUMENTS | | | | | |
|-----------------------|---------------------------------|---|--|--|--|--|
| Examiner Initials* | Cite No.1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, stc.), date, page(s), volume-Issue number(s), bublisher, citly and/or country where published | | | | |
| | | International Search Report for International Application PCT/US 2005/22987 dated 24 August 2006. | | | | |
| | | Written Opinion of the international Search Authority for International Application PCT/US 2005/22987 deted 24 August 2006. | | | | |
| | | Supplementary European Search Report for International Application 05763591.4 - PCT/US 2005/22987 dated 29 January 2009. | | | | |
| | | Written Opinion of the International Search Authority for International Application PCT/US 2005/10571 dated 30 June 2008. | | | | |
| | | Office Action dated December 11, 2008 for United States Patent Application No. 11/653,158, filed January 12, 2007 (Attorney Docket No. 040387CON/CRP.005.US01). | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |

| Examiner | . 10 | Date | |
|-----------|------|------------|--|
| Signature | | Considered | |

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

This collection of Information is required by 37 CFR 1.98. The Information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 36 U.S. C.122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form anoffer suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.